SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre		rting Person [*]				uer Name and Tick as Pacific La		-	•				elationship of Reportir ck all applicable)	ng Person(s) to Is	suer
(Last)	(First)		(Middle)		3. Da	te of Earliest Trans 9/2022						2	C Director Officer (give title below)	Oth	% Owner ner (specify ow)
C/O HORIZON 470 PARK AVE					4. lf /	Amendment, Date c	f Origina	I Filed	(Month/Day/Y	ear)		6. In	-	ne Reporting Pers	son
(Street) NEW YORK	NY		10016									2	Form filed by Me	ore than One Rep	orting Person
(City)	(State)	(Zip)												
1. Title of Security	(Instr. 3)		Table I -	2. Transac		e Securities A	3.		4. Securities	Acquired (A) or	-	5. Amount of	6. Ownership	7. Nature of
				Date (Month/Day	y/Year)	Execution Date, if any (Month/Day/Year)	Transac Code (li 8) Code		Disposed Of Amount	(D) (Instr. 3	Price		Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial Ownership (Instr. 4)
Common Stock				12/19/2	022		Р		1	(D) A	\$2,504	1.34	43,245(1)	I	Polestar Offshore Fund Ltd
Common Stock				12/19/2	022		Р		2	A	\$2,512	2.23	104,647(1)	I	Horizon Kinetics Hard Assets LLC
Common Stock				12/19/2	.022		Р		2	Α	\$2,512	2.23	1,497(1)	I	Horizon Common Ine
Common Stock				12/19/2	.022		Р		3	A	\$2,512	2.23	1,938(2)	D	
Common Stock				12/19/2	022		Р		1	A	\$2,510	0.1	1,647(1)	I	Horizon Credit Opportunity Fund LP
Common Stock				12/19/2	.022		Р		12	A	\$2,510).56	197,130 ⁽³⁾⁽⁴⁾	I	Horizon Kinetics Asset Managemen LLC
Common Stock													1,472 ⁽¹⁾	I	Horizon Kinetics Hard Assets II LLC
Common Stock													2,484 ⁽¹⁾	I	CDK Partners LP
Common Stock													5,549(1)	I	CDK Fund Ltd
Common Stock													60 ⁽¹⁾	I	Spouse
			Table			Securities Acc calls, warrant						Ow	ned		
1. Title of	2.	3. Transaction	3A. Dee		1.				ercisable and			at of	8. Price of 9. Nur	nhor of 10	11. Nature

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (In 8)		5. Num Derivat Securit Acquire or Disp (D) (Ins and 5)	ive ies ed (A) osed of	6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and A Securities Ur Derivative Se (Instr. 3 and A	nderlying ecurity	8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)			

(Last)	(First)	(Middle)
C/O HORIZON H	KINETICS LLC	
470 PARK AVE	S 8TH FL S	
(Street)		
NEW YORK	NY	10016
(City)	(State)	(Zip)
	s of Reporting Person [*]	MANAGEMENT
		<u>MANAGEMENT</u>
HORIZON KI		<u>MANAGEMENT</u> (Middle)
HORIZON KI LLC	INETICS ASSET	
HORIZON KI	(First)	
HORIZON KI LLC (Last) 470 PARK AVE	(First)	
HORIZON KI LLC (Last) 470 PARK AVEN 4TH FLOOR SO (Street)	(First)	
HORIZON KI LLC (Last) 470 PARK AVE 4TH FLOOR SO (Street)	(First) NUE SOUTH UTH	(Middle)

Explanation of Responses:

1. The amount of common shares of the Issuer reported excludes other accounts in which Mr. Stahl has a non-controlling interest and does not exercise investment discretion. These accounts are managed by Horizon Kinetics Asset Management LLC ("Horizon"), in which Mr. Stahl serves as Chairman, Chief Executive Officer and Chief Investment Officer but does not participate in investment decisions with respect to the securities of the Issuer. Mr. Stahl disclaims beneficial ownership except to the extent of his pecuniary interest, if any.

2. Mr. Stahl does not exercise investment discretion with respect to the securities of the Issuer. These accounts are managed by Horizon Kinetics Asset Management LLC ("Horizon"), in which Mr. Stahl serves as Chairman, Chief Executive Officer and Chief Investment Officer but does not participate in investment decisions with respect to the securities of the Issuer.

3. The amount reported as held following the transaction excludes approximately 1,274,576 shares as noted in Horizon's Schedule 13D filed on February 2, 2022, for which Horizon does not have a pecuniary interest and also excludes shares purchased by portfolio managers and other employees of the firm for their personal accounts.

4. The amount of common shares of the Issuer reported excludes partnerships and other accounts in which Mr. Stahl has a controlling interest and are reported separately. These accounts are managed by Horizon, in which Mr. Stahl serves as Chairman, Chief Executive Officer and Chief Investment Manager but does not participate in investment decisions with respect to the securities of the Issuer. Mr. Stahl disclaims beneficial ownership except to the extent of his pecuniary interest, if any.

 /s/Jay Kesslen, attorney-in-fact
 12/2

 ** Signature of Reporting Person
 Date

12/20/2022

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.